



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Ken SAWADA et al.**

Art Unit: **1795**

Application Number: **10/804,179**

Examiner: **Kathleen Duda**

Filed: **March 19, 2004**

Confirmation Number: **6768**

For: **RESIST PATTERN FORMING METHOD AND SEMICONDUCTOR DEVICE
FABRICATION METHOD**

Attorney Docket Number: **042256**

Customer Number: **38834**

REQUEST FOR RETURN OF FORM PTO-1449

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

June 18, 2008

Sir:

Applicants filed an Information Disclosure Statement (IDS) on June 23, 2004. The returned form PTO-1449 did not have all the listed references initialled to indicate review by the Examiner. In particular, the Examiner did not indicate that WO 03/014830 was considered. A copy of the IDS and returned PTO-1449 is attached hereto.

Applicants respectfully request that the Examiner return a copy of the Form PTO-1449 that accompanies the IDS, marked to indicate that the references listed therein have been considered by the Office.

Request for Return of Form PTO-1449
Attorney Docket No. 042256
Serial No. 10/804,179

The Examiner is encouraged to telephone the undersigned if the Examiner has any questions concerning this Request.

Respectfully submitted,
WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP



Shuji Yoshizaki
Limited Recognition
Registration No. L0111
Telephone: (202) 822-1100
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SY/mt

Enclosure: Limited Recognition
Information Disclosure Statement filed on June 23, 2004 and returned PTO-1449
Date Stamped Post Card (copy)




**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATES PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 11.9(b)

Shuji Yoshizaki is hereby given limited recognition under 37 CFR §11.9(b) as an employee of Westerman Hattori Daniels & Adrian, LLP, to prepare and prosecute patent applications wherein the patent applicant is the client of Westerman Hattori Daniels & Adrian, LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of Westerman Hattori Daniels & Adrian, LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Shuji Yoshizaki ceases to lawfully reside in the United States, (ii) Shuji Yoshizaki's employment with Westerman Hattori Daniels & Adrian, LLP ceases or is terminated, or (iii) Shuji Yoshizaki ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Limited Recognition No. L0111.
Expires: July 7, 2010



Harry I. Moatz
Director of Enrollment and Discipline



JS

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Ken SAWADA et al.**

Group Art Unit: 1756

Serial No.: 10/804,179

Examiner: TBA

Filed: March 19, 2004

Confirmation No.: 6768

**For: RESIST PATTERN FORMING METHOD AND SEMICONDUCTOR
DEVICE FABRICATION METHOD**

Attorney Docket No.: 042256

Customer Number: 38834

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

June 23, 2004

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached Form PTO-1449. A copy of each document listed, is attached.

No fee or certification is required in connection with this Information Disclosure Statement, because it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

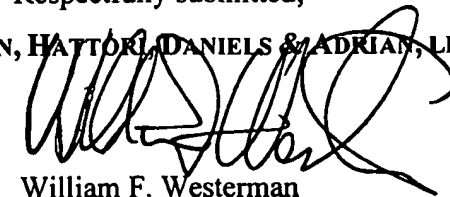
The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. Applicants respectfully request that the information be expressly considered during the prosecution of this application and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

Appln. Serial No. 10/804,179
By: Ken SAWADA et al.

The Commissioner is authorized to charge our Deposit Account No. 50-2866 for any fee that is required to effect consideration of this statement.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

A handwritten signature in black ink, appearing to read 'W. F. Westerman', is written over the printed name of the attorney.

William F. Westerman
Attorney for Applicants
Registration No. 29,988

WFW/meu

Enclosures: PTO-1449 Form
(5) References

Docket No. 042256
1250 Connecticut Avenue, NW
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U.S. Patent Application

Serial No.: **10/804,179**

Title: **RESIST PATTERN FORMING METHOD AND SEMICONDUCTOR
DEVICE FABRICATION METHOD**

Applicant: **Ken SAWADA et al.**

Papers filed herewith on: **June 23, 2004**

Docket No: **042256**

Filed: **March 19, 2004**

**Information Disclosure Statement
1449 Form
(5) Documents**



WFW/meu

COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated
in connection with the above-identified case.